



# OXITEC'S FAILED GM MOSQUITO RELEASES WORLDWIDE:

Forewarnings for Africa and the Target Malaria project



GeneWatch UK is a not-for-profit policy research and public interest group. It investigates how genetic science and technologies will impact on our food, health, agriculture, environment and society.

+44 (0)330 0010507  
mail@genewatch.org  
GeneWatch UK  
86 Dedworth Rd, Windsor, Berkshire, SL4 5AY

## TWN Third World Network

Third World Network (TWN) is an independent non-profit international research and advocacy organisation involved in issues relating to development, developing countries and North-South affairs.

Tel: 60-4-2266728/2266159, Fax: 60-4-2264505  
twn@twnetwork.org  
Third World Network  
131 Jalan Macalister, 10400 Penang, Malaysia



The African Centre for Biodiversity (ACB) has a long and respected track record of research and advocacy. Our current geographical focus is Southern and East Africa, with extensive continental and global networks. We do research and analysis, advocacy and skills sharing and seek to inform and amplify the voices of social movements fighting for food sovereignty in Africa.



This publication is licensed under a Creative Commons Attribution-NonCommercial-NoDerivatives 4.0 International License

This publication may be shared without modification for non-commercial use provided the African Centre for Biodiversity is acknowledged as the source. Prior written agreement is necessary for any commercial use of material or data derived from this publication.

PO Box 29170, Melville 2109, Johannesburg, South Africa. Tel: +27 (0)11 486 1156

Researched and written by Dr Helen Wallace and Anthony Jackson of GeneWatch UK, with contributions by Lim Li Ching of Third World Network, Dr Eva Sirinathsinghji and Mariam Mayet of ACB

Cover image: Adam Rumball  
Copy editor: Liz Sparg  
Design layout: Adam Rumball, Sharkbuoys Designs, Johannesburg

All photos are in the public domain

## Acknowledgements

The African Centre of Biodiversity wishes to acknowledge researchers and authors Dr Helen Wallace and Anthony Jackson of GeneWatch UK, and the contributions of Lim Li Ching of Third World Network, molecular biologist Dr Eva Sirinathsinghji and ACB's Mariam Mayet. The ACB further acknowledges the support of the Swift Foundation, the 11th Hour Project and Bread for the World.

# Table of Contents

About this briefing	5
1. The GM insect company Oxitec	5
2. Oxitec’s GM insects	6
3. Open releases of Oxitec’s GM insects	6
4. Concerns about efficacy and risks	8
4.1 Risks of GM mosquitoes with the RIDL “conditional lethality” trait	9
4.1.1 Release of female GM mosquitoes	9
4.1.2 Effects on other mosquito species	10
4.1.3 Impacts on target mosquito population numbers and on dengue fever	10
4.1.4 Survival and spread of GM mosquitoes and impacts of antibiotic resistance	11
4.1.5 Introduction of new mosquito strains	11
4.2 Additional risks of female-killing GM insects and agricultural pests	13
5. Regulatory and governance issues	13
6. Social and ethical issues	14
7. Future releases of GM insects?	15
8. Conclusions	16
Endnotes	17

## Acronyms and abbreviations

CPB	Cartagena Protocol on Biosafety
DHF	Dengue haemorrhagic fever
EPA	Environmental Protection Agency
EU	European Union
FDA	Food and Drug Administration
fsRIDL	Female sex-RIDL
GBIT	Gangabishan Bhikunal Investment and Trading Limited
GM	Genetically modified
LMOs	Living modified organisms
MRCU	Mosquito Research and Control Unit
RIDL	Release of insects carrying a dominant lethal genetic system
UKTI	United Kingdom Trade and Investment
USDA	United States Department of Agriculture
WHO	World Health Organisation





## About this briefing

Genetically modified (GM) mosquitoes were first released into the environment a decade ago, in the Cayman Islands. However, the Cayman Islands government recently announced an end to these experiments, stating that they had been a failure.

Experiments in Malaysia and Panama have also ceased and plans to release GM insects in many other countries have been quietly abandoned.

All the GM insects that have been released into the environment to date were released as part of experiments by the UK-based company Oxitec, which is now owned by the US biotech company Intrexon.

This briefing summarises what is known about the releases of GM insects that have been made worldwide to date, including impacts on human health and the environment and the role of regulations and public engagement in decision-making. It asks what the lessons are for Africa regarding Target Malaria's plans to release GM mosquitoes in Africa.<sup>1,2</sup>

## 1. The GM insect company **Oxitec**

Oxitec is a UK-based commercial company, which produces genetically modified (GM) mosquitoes and other insects.<sup>3</sup> In September 2015, Oxitec was acquired by the US-based synthetic biology company Intrexon.<sup>4</sup>

Oxitec was originally a spin-out company from the University of Oxford and the main early-stage investors were the University, Oxford Capital Partners and East Hill Management.<sup>5</sup> In September 2015, Intrexon acquired Oxitec for \$160 million (paid in a mix of cash and stock).<sup>6</sup> Claims of evidence of success of their trials on GM mosquitoes featured heavily in press releases made by the two companies at the time, including claims of “*over 90% reduction of the Aedes aegypti [mosquito] population*”.<sup>7,8</sup> The *Aedes aegypti* species of mosquito transmits the tropical diseases dengue fever, zika and chikungunya. However, the companies' claims are not supported by the evidence now available about these trials.<sup>9</sup>

## 2. Oxitec's GM insects

Oxitec's GM insects are living modified organisms (LMOs) that can fly and spread widely in the environment. For example, mosquitoes spread on people's clothes and in habitats such as car tyres, and insect pests spread around the world on plants, fruits, vegetables and animals, including via planes and ships. Unlike GM crops, which are intended to remain within the fields where they are planted, GM insects are intended to spread and mate with wild insects.

Oxitec's patented technique for genetically modifying insects is known as RIDL (release of insects carrying a dominant lethal genetic system).

The company's open field experiments to date mainly involve its OX513A strain of the *Aedes aegypti* mosquito, which is genetically engineered to contain a red fluorescent marker and the RIDL "conditional lethality" trait.<sup>10</sup> The mosquitoes are genetically engineered to die at the larval stage in the absence of the antibiotic tetracycline, which acts as a chemical switch to allow breeding in the laboratory. Although Oxitec frequently describes its GM mosquitoes as "sterile", this is not the case. Oxitec's male GM mosquitoes are intended to mate with wild females and produce male and female offspring carrying the genetic trait, most of which die at the late larval stage. Repeated releases of many millions or billions of GM males, vastly outnumbering the wild male mosquito population, are intended to reduce the total adult population of mosquitoes over time.

As well as GM mosquitoes, Oxitec is developing GM agricultural insect pests, such as fruit flies, diamondback moths, bollworms and olive flies. Oxitec's technique for GM agricultural pests is known as fsRIDL (female sex RIDL). These insects use a variation of the trait in which only the female offspring are genetically engineered to die.<sup>11</sup> Oxitec is seeking to apply the same approach to fall armyworm (a pest for more than 80 kinds of plant, including maize, rice, sugarcane and

cotton).<sup>12</sup> If it is successful, GM fall armyworms might also be marketed in Africa. However, the company has yet to publish any evidence that it has genetically engineered this pest, let alone that this could be successful in the field.

Since 2018, Oxitec has also begun open release experiments in Brazil with a new version of its GM mosquito, which, like its GM agricultural pests, is female-killing only (i.e. only the females of the GM insects are killed by the genetic trait).<sup>13</sup>

Oxitec's business plan is dependent on locking its customers in to repeated payments for ongoing releases of its GM insect species, with the aim of keeping the target wild species' numbers low.

## 3. Open releases of Oxitec's GM insects

Since 2009, Oxitec has conducted experimental open releases of genetically modified (GM) mosquitoes in the Cayman Islands, Malaysia, Brazil and Panama. It has also conducted a small experimental release of GM diamondback moths (a pest of cabbages and other crops) in the USA. Only releases in Brazil continue at the present time, and these are now using a new version of Oxitec's GM mosquito, which has yet to be released elsewhere. The company claims this new technology will be more effective: however, the company has not published any evidence to support this new claim.

Oxitec has previously claimed that it will conduct open releases of GM mosquitoes in Colombia,<sup>14</sup> the USA,<sup>15</sup> India,<sup>16</sup> Pakistan,<sup>17</sup> Singapore,<sup>18</sup> Argentina,<sup>19</sup> Ecuador, Costa Rica,<sup>20</sup> Puerto Rico<sup>21</sup> and elsewhere in the Caribbean.<sup>22</sup> However, none of these projects have happened in reality. Caged trials of a different version of Oxitec's GM mosquitoes (flightless





females) took place in Mexico but were abandoned after the GM mosquito line was reportedly discovered to be contaminated.<sup>23</sup>

In 2018, the Environmental Health Minister in the Cayman Islands confirmed that trials of Oxitec's GM mosquitoes there did not work and would be abandoned.<sup>24</sup> Oxitec's releases of GM mosquitoes in Panama and Malaysia ceased earlier, due to concerns about costs, effectiveness and risks. In Malaysia, trials were abandoned following a small open release experiment to measure flying distances and survival rates.<sup>25</sup> The health ministry concluded that "*the method was not practical besides involving high costs*".<sup>26</sup> In Panama, open release trials of Oxitec's GM mosquitoes were conducted in 2012 and then ceased, reportedly due to the high costs.<sup>27</sup> Proposed trials in other countries never actually took place. For example, Gangabishan Bhikunal Investment and Trading Limited (GBIT) is an Indian commercial company that has been working in partnership with Oxitec since 2011.<sup>28</sup> However, no open releases of Oxitec's GM mosquitoes have taken place in India. Oxitec notes that its former subsidiaries in Singapore, Mexico, Australia and Costa Rica are all now dormant.<sup>29</sup> Since its Cayman Island operations have now closed,<sup>30</sup> only the company's Brazilian office remains active.

In Brazil, several trials of Oxitec's OX513A GM mosquito strain have taken place with the approval of the biotech regulator CTNBio. However, commercial releases have never been approved by the Brazilian health authority ANVISA, which wants to see evidence of benefits to health before giving its approval, in line with recommendations from the World Health Organisation (WHO).<sup>31,32,33</sup>

In Brazil, Oxitec released GM mosquitoes in Jacobina and Juazeiro in the state of Bahia, from 2011 to 2013. In 2016, Oxitec began larger-scale trials of its GM mosquitoes in Piracicaba, a city located in the state of São Paulo.<sup>34</sup> However, in 2018, Oxitec Brazil decided to close its GM mosquito factory in Piracicaba.<sup>35</sup> According to the company, the reason was the transition to a newer version of its GM mosquitoes, known as OX5034, which began to be released in a pilot project in Indaiatuba in the Campinas region, in mid-2018. In November 2018, Oxitec announced that in

future it would only conduct trials with this new generation of GM insects, which, like its earlier GM pests, are female-killing only (i.e. only the females of the GM insects are killed by the genetic trait).<sup>36</sup>

Further proposed trials of Oxitec's GM mosquitoes in the USA (in Key Haven, Florida Keys) were halted in 2016 following a local vote against the trials and the threat of legal action.<sup>37</sup> The Food and Drug Administration (FDA) authorisation for the Key Haven trials was withdrawn<sup>38,39</sup> and a new authorisation will be needed from the Environmental Protection Agency (EPA) if any future trials are to go ahead.<sup>40</sup> Now that it has switched to its newer female-killing OX5034 strain of GM mosquito, Oxitec will need to submit a new application to the regulators.<sup>41</sup>

Oxitec has sought to release GM diamondback moths in the UK<sup>42,43,44,45,46,47,48,49</sup> and the USA,<sup>50</sup> GM olive flies in Spain,<sup>51,52</sup> and GM fruit flies in Australia<sup>53</sup> and Brazil. All these GM agricultural pests are female-killing only. Only one of these open release experiments has taken place. This was a small-scale "mark-release-recapture" experiment, using GM diamondback moths, in New York State in 2017.<sup>54</sup> Despite an application to conduct population suppression experiments with these GM moths, a permit was not granted.

Earlier, open release experiments were conducted in Arizona in 2007 and 2008, using Oxitec's GM pink bollworms (a cotton pest), with only the fluorescence trait for identification purposes (not the RIDL "conditional lethality" trait), and made sterile using radiation.<sup>55</sup> Although they used irradiated sterile insects, with only a GM fluorescence trait, the GM bollworm experiments were halted, partly over US organic farmers' concerns about contamination of their crops with genetically modified organisms (GMOs).<sup>56,57</sup> They also led the United States Department of Agriculture (USDA) Office of Inspector General to make a highly critical report, which argued that the USDA's controls over GM insect research were inadequate and that regulations needed to be strengthened.<sup>58</sup>

## 4. Concerns about efficacy and risks

**"Whilst Oxitec and MRCU are making public statements proclaiming major reductions in the *Aedes aegypti* population in the treatment area the data I have seen does not support this."**

Cayman Islands' Mosquito Research and Control Unit (MRCU) scientist, 4 April 2017<sup>59</sup>

**"To date all the measures recorded have shown no significant reduction in the abundance of *Aedes aegypti* in the release area."**

MRCU scientist, 4 April 2017<sup>60</sup>

Oxitec has repeatedly claimed that its experiments have been successful. In a brochure published in 2016, the company stated, "*Oxitec has developed a paradigm shift in mosquito control leading to unparalleled levels in the suppression of *Aedes aegypti*, the main vector for several of the world's most damaging viruses including zika, dengue and chikungunya*" and, "*In five separate efficacy trials across three different countries, releases of Oxitec OX513A mosquitoes led to a greater than 90% reduction in the local *Aedes aegypti* populations*".<sup>61</sup> However, these claims are not supported by the evidence.<sup>62</sup> Oxitec's decision to stop releasing its OX513A mosquito and begin trials with a new female-killing version effectively confirms that its trials to date have all been a failure. There is no commercial approval for releases, as the company lacks any evidence of efficacy in tackling dengue or other diseases spread by this mosquito.





Further, GM mosquito production is extremely costly and there have been production problems. In 2014, the release of 300,000 GM mosquitoes in Panama was reported to have cost \$620,000 (more than \$2 per mosquito).<sup>63</sup> In the Cayman Islands, production issues included the release of a high percentage of female GM mosquitoes (discussed later in this briefing), high adult and larval mortality, and mould in the rearing unit.<sup>64</sup>

Hype about the claimed “solution” provided by Oxitec’s GM mosquitoes can result in significant opportunity costs if investments are diverted from more effective existing tools or more promising research and development by unrealistic promises.

In addition, Oxitec’s open releases of GM mosquitoes pose risks to local human populations and their environment. A few examples of these risks are discussed below.

#### **4.1 Risks of GM mosquitoes with the RIDL “conditional lethality” trait**

Until recently, all Oxitec’s open releases of GM *Aedes aegypti* mosquitoes used their “conditional lethality” trait, which aims to kill both the male and female offspring of the GM mosquitoes before they reach adulthood (mostly at the larval stage). Some of the risks associated with these releases are discussed below.

##### **4.1.1 Release of female GM mosquitoes**

Although Oxitec has often stated that it would release only male GM mosquitoes, this is not the case. Oxitec produces GM male and female mosquitoes, then sorts them to try to remove the females prior to release. Some GM females are inadvertently released, due to difficulties with the process of sorting males and females. In addition, the genetic trait is passed on

to both the male and female offspring that are produced when the released GM male mosquitoes mate with wild females. Some of these GM female larvae will also survive to adulthood. GM female mosquitoes can bite humans and transmit disease. Because of the very large numbers released, even a small proportion of biting female GM mosquitoes may lead to a large number in the releases.

Emails released as a result of a Freedom of Information (FoI) request in the Cayman Islands highlight “a significant increase in the number of female mosquitoes collected in the treatment area”, rather than a decrease, which is thought to be due to the accidental release of GM female mosquitoes.<sup>65</sup> The emails reveal a high level of concern about the inadvertent release of GM female mosquitoes, from the MRCU scientist with access to the data.<sup>66</sup> A 2017 report includes female adult mosquito numbers collected from traps in the published data.<sup>67</sup> The graph shows significant increases (spikes) in adult female mosquito numbers in the release area five to seven weeks after the releases begin, and again seven to eight weeks after the releases were stepped up.

#### 4.1.2 Effects on other mosquito species

Releases of Oxitec’s GM *Aedes aegypti* mosquitoes are intended to suppress the wild population of *Aedes aegypti*. Unlike removing breeding sites or using larvicides, this is a single-species approach, which does not reduce populations of non-target species. If population suppression of *Aedes aegypti* is successful (even temporarily), one important question is whether *Aedes albopictus* (Asian tiger) mosquitoes, which also transmit dengue and several other viruses (including chikungunya), will increase in numbers and perhaps establish in new areas as a result of competitive displacement of one species by another. *Aedes albopictus* has been responsible for epidemics of dengue and chikungunya elsewhere in the world,<sup>68,69</sup> and for the re-emergence of dengue in southern China,<sup>70</sup> and this species is likely to play an important role in the maintenance and transmission of the virus.<sup>71,72</sup>

In a draft risk assessment submitted to regulators in the USA in 2011, Oxitec states: “It is not clear to what extent *Ae. albopictus* could or would expand its range into areas

currently dominated by *Ae. aegypti* but it is reasonable to expect a degree of such expansion if no countervailing activities are undertaken”.<sup>73</sup> Oxitec also published a paper in 2010, which uses computer modelling to show how *Aedes aegypti* and *Aedes albopictus* may interact.<sup>74</sup> The authors acknowledge that this could have important consequences for the persistence of disease. In its 2015 application to the Cayman Islands, Oxitec states, “Should *Aedes albopictus* begin to occupy the *Aedes aegypti* niche upon reduction in their numbers, a concurrent operation will begin to reduce the numbers of *Aedes albopictus*”.<sup>75</sup> However, no such operation has ever taken place, so there is no evidence that it would be effective or cost-effective; and in any case, Oxitec appears to have abandoned its work on GM *Aedes albopictus*, which is no longer mentioned on its website. More recently, Oxitec’s former Chief Scientific Officer, Luke Alpey stated, “Since *Aedes aegypti* and *Aedes albopictus* are known to compete ... it is possible that the successful implementation of ... [GM mosquito] gene drives could lead an existing *Ae. aegypti* population to be displaced by *Ae. albopictus* where it would not otherwise have been. This would likely hamper efforts to eliminate viruses such as dengue since *Ae. albopictus* are also competent vectors...”.<sup>76</sup>

#### 4.1.3 Impacts on target mosquito population numbers and on dengue fever

Other possibilities are that mosquito numbers in areas neighbouring the trials could increase as a result of the experiments; a rebound in mosquito numbers or cases of disease could occur when releases cease; or partial population suppression could increase the risk of the more severe form of the disease, dengue haemorrhagic fever (DHF). These possibilities are risks to public health associated with undertaking trials in dengue endemic areas and are explained below.

The first issue to consider is whether releases of GM mosquitoes could cause an increase in the numbers of mosquitoes in surrounding areas. This effect is predicted by some models for the release of sterile insects.<sup>77</sup> There is evidence from Oxitec’s experiments that numbers in neighbouring control areas may increase as the population is suppressed in the target area: however not enough evidence has been published to be certain of the cause.

There appears to be a real possibility that some of the wild mosquitoes, when swamped by very high releases of GM males, simply migrate to mate in the surrounding area, potentially increasing health risks for the people there.

A second issue is whether there could be a rebound in mosquito numbers and/or cases of disease. A model of Oxitec's releases in the Cayman Islands predicts a rebound in mosquito numbers when population suppression ceases.<sup>78</sup> Another possibility is that there is a rebound in number of dengue cases due to loss of human immunity.<sup>79,80,81</sup> If Oxitec were to be successful in temporarily suppressing the wild mosquito population, this is a possible mechanism through which the number of dengue cases could increase as a result of Oxitec's experiments, especially if a reduction in the mosquito population cannot be sustained.

Perhaps the most important issue is whether cases of the more serious dengue haemorrhagic fever (DHF) might increase as a result of the experiments. In its draft risk assessment submitted to regulators in the USA Oxitec states: "*It has been suggested that, in countries with very high transmission rates, reduction in transmission could increase the frequency of dengue hemorrhagic fever (DHF) even while decreasing the incidence of dengue fever*".<sup>82</sup> The mechanism is a possible loss of cross-immunity to multiple serotypes of dengue.<sup>83,84</sup>

This is an example of how unintended effects can arise from the complex interactions between mosquito numbers, human immunity and the incidence of a disease.

#### **4.1.4 Survival and spread of GM mosquitoes and impacts of antibiotic resistance**

Oxitec's GM mosquitoes are programmed to die at the late larval stage in the absence of the antibiotic tetracycline. However, there are several mechanisms which could allow many more of the mosquitoes to survive to adulthood.

In the laboratory, 3% of the offspring of Oxitec's GM mosquitoes survive to adulthood, even in the absence of the antibiotic tetracycline.<sup>85</sup> When GM mosquitoes were

fed cat food containing industrially farmed chicken, which contains the antibiotic tetracycline, the survival rate increased to 15–18%. Oxitec originally hid this information<sup>86</sup> but later admitted to an 18% survival rate of larvae fed on cat food in a published paper.<sup>87</sup> Because tetracycline is widely used to treat humans and animals, it can be found in high concentrations in the environment, for example in septic tanks and animal manure. The presence of tetracycline in the environment means that at least some of Oxitec's GM mosquitoes, if they encounter the antibiotic, can survive to adulthood.

The use of tetracycline to breed the GM mosquitoes in the laboratory also carries the risk of spreading antibiotic resistance, which could pose a major risk to human and animal health. Insect guts are reservoirs for antibiotic resistance genes with potential for dissemination.<sup>88,89</sup> Insect production in factories exposed to antibiotics could lead to drug resistance in their microbiota so that the insects disseminate antibiotic resistance when released into the environment.<sup>90,91</sup>

The percentage of surviving GM mosquitoes could also increase if resistance to the genetic killing mechanism evolves over time.<sup>92,93</sup>

#### **4.1.5 Introduction of new mosquito strains**

To create its GM mosquitoes, Oxitec started with a strain of *Aedes aegypti* mosquito that is commonly kept in laboratories, which probably came originally from Cuba. Before releasing the GM mosquitoes into the environment, it crossed them with wild strains from Mexico (for the releases in the Americas) or Asia (for the releases in Malaysia). When Oxitec's GM mosquitoes breed with wild mosquitoes some of their other genetic characteristics will be passed on to the local wild mosquito population. Different wild strains of the same species are found in different places and some strains are more resistant to insecticides than others or better transmitters of disease.<sup>94,95,96,97,98</sup> The possible introduction of such traits needs to be considered. Harm to people's health can be increased if some serotypes or viruses can be transmitted more easily by the introduced strain than they were by the wild species already in the area, or if the strain is resistant to insecticides.



## 4.2 Additional risks of female-killing GM insects and agricultural pests

Oxitec's GM agricultural pests, such as fruit flies,<sup>99</sup> moths<sup>100</sup> and olive flies<sup>101</sup> raise additional concerns because they are female-killing only (they have what Oxitec calls its fsRIDL trait). The idea is that mass releases of GM males will mate with wild females and their offspring will contain the female-killing trait. This genetically engineered trait is intended to make most of the female offspring of these matings die before adulthood; however the male offspring are intended to survive and breed for multiple generations. In addition, wild female pests that have mated with the released GM males will lay eggs that inherit the GM female-killing trait inside the crop they feed on (such as olives, fruit or cabbages). GM larvae (maggots) that develop from these eggs will begin eating the crop before the majority of the female larvae die inside the crop. The male GM larvae that grow inside the fruit are expected to emerge and develop into adults as normal and to go on to mate with other wild pests, again passing on the female-killing trait. As a result, there is likely to be significant crop damage during the releases,<sup>102</sup> as the offspring of the GM pests feed on the crop for multiple generations, and, in addition, many dead GM larvae will contaminate the crop.

There is little published information about Oxitec's new female-killing strain of GM mosquito. However, concerns about the spread of the GM trait and other traits of the introduced strain will increase if GM males survive and breed for multiple generations. Depending on the details of the technology used, other new concerns may be identified. In caged experiments in Mexico using an earlier female-killing version (Oxitec's flightless female GM mosquitoes), the GM mosquito line was reportedly contaminated, so that half the GM females could fly and mate, rather than being unable to survive and reproduce.<sup>103</sup>

# 5. Regulatory and governance issues

Prior to releasing GM insects into the environment, Oxitec infiltrated decision-making processes around the world, with a view to influencing regulations, guidelines and decision-making about the release of genetically modified insects.<sup>104</sup> Subsequently, the European Ombudsman found that one of the experts involved in developing guidance for the risk assessment of GM insects in the EU had failed to disclose his conflicts of interest as an employee of Oxford University receiving joint grants with Oxitec to seek to influence GM insect regulation.<sup>105</sup> Oxford University made £9.2m when Oxitec was sold to the US company Intrexon.<sup>106</sup>

Reeves et al. (2012) note that there were "significant omissions" in the information made publically available prior to open releases of GM mosquitoes in the Cayman Islands and Malaysia and that this made it impossible to establish whether relevant hazards had been properly assessed.<sup>107</sup> They also highlight that the Cayman Islands had no enacted legislation relating to living GM organisms at the time of the first open release of GM mosquitoes there.

In Brazil, the regulator CTNBio did not wait for a new regulation on GM insects to be completed before approving releases of Oxitec's GM mosquitoes in 2010.<sup>108</sup> The approval followed a 2007 meeting in London, organised by United Kingdom Trade and Investment (UKTI), where it was agreed that Oxitec and the Ministry of Health's scientific institute Fiocruz should initiate a collaboration to evaluate Oxitec's technology in the field in Brazil, with a view to commercialising it, and that "*Brazil's current GM regulations are unlikely to hamper or slow down this step*".<sup>109</sup>

Oxitec's GM mosquitoes have been exported from European Union (EU) countries for open release into the environment elsewhere. Under EU law, the exporter should provide prior notification, including a publicly available environmental risk assessment that meets European standards, before exporting GM insect eggs for open release to foreign countries. This legal requirement arises because GM insect eggs are live genetically modified organisms (living modified organisms or LMOs) covered by the Cartagena Protocol on Biosafety (CPB) to the Convention on Biological Diversity. This Regulation (EC) 1946/2003 is important because it requires the exporter to provide a comprehensive, publicly available risk assessment that meets EU standards for GMOs intended for release into the environment.<sup>110</sup> The precautionary principle must be taken into account when applying this regulation.

Oxitec has a poor track record of meeting the transboundary notification requirements when exporting its GM mosquito eggs to other countries, but it has never been sanctioned for its regulatory failures by the United Kingdom government.<sup>111,112,113</sup> Instead, the UK government has promoted the technology heavily via UKTI as part of an economic strategy designed to boost exports of patented biotechnologies overseas<sup>114</sup> and has changed tax rules for venture capital to help fund the company.<sup>115</sup>

Further, it remains questionable whether Oxitec would be liable for any harm to the environment or human health, should

problems occur. Oxitec has always used in-country partners to make the applications to regulators. Depending on whether the developer or the in-country partner is defined as the operator in national law, this could mean that the in-country partner is held liable if anything goes wrong, allowing the developer (based in a rich country) to walk away and not take responsibility or bear the costs for any future harm.

## 6. Social and ethical issues

Social and ethical issues can only be addressed by broadening out the public engagement process and by taking a precautionary approach. Oxitec failed to acknowledge the extent of the ignorance and uncertainty surrounding the complexity of ecosystem responses to its releases of GM insects and instead made unsubstantiated and unrealistic claims about what its GM mosquitoes could deliver. Hype about Oxitec's claimed "solution" to dengue led to opportunity costs, as alternative solutions were neglected, and closed down public debate about the best ways to tackle problems.

Researchers have described how the multiple programmes of "community engagement" undertaken during the open field releases of Oxitec's GM mosquitoes in Brazil served



primarily to publicise the releases, rather than to examine whether this was a politically accountable or publicly acceptable decision.<sup>116</sup> For example, in Brazil, Oxitec's public engagement included a jingle claiming that Oxitec's GM mosquitoes are "the solution" to dengue,<sup>117</sup> "Let him into your house, He's the solution, He fights dengue and won't bite anyone, Protect your health, He's the good mosquito". This did not allow for any debate about the efficacy of this approach, and implied that it was known to work, rather than that it was an experiment with potential risks. In addition, debate focused solely on the GM mosquito, and this diverted attention from alternatives, including broader issues such as improving social conditions, health care or medical interventions.<sup>118,119</sup>

There are significant opportunity costs when operational and research and development budgets are spent on Oxitec's technology. For example, the Cayman Island emails highlight that the MRCU scientist with access to Oxitec's data was disappointed that MRCU signed a \$400,000 extension of the project as "*an as yet unproven technique*" and that in his view this could have funded 13 staff for one year "*which would have allowed us to treat all problem yards across the island on a once-weekly basis*"<sup>120</sup>.

## 7. Future releases of GM insects?

One of the organisations that previously funded Oxitec's GM mosquitoes is the Bill & Melinda Gates Foundation.<sup>121</sup> The Gates Foundation now provides core funding to another GM mosquito project run by the research consortium Target Malaria.<sup>122</sup> This time the focus is on using GM mosquitoes to tackle malaria, rather than dengue, and therefore different mosquito species are being genetically modified, in different ways, although the aim is still to suppress wild mosquito populations.



The ultimate aim of Target Malaria is to use a "gene drive" system, which aims to ensure the genetically engineered trait spreads through the mosquito population in a self-sustaining way. However, the release of gene drive GM mosquitoes is at least five to ten years away. Instead, Target Malaria plans to first release a different GM mosquito in Burkina Faso in 2019. These are not gene drive mosquitoes, which are still being researched in the laboratory, but a different "male sterile" GM mosquito, where the genetic engineering causes the GM male mosquitoes to be sexually sterile.<sup>123</sup> The proposal to release up to 10,000 GM mosquitoes over the coming year is a training exercise for the researchers. Target Malaria has stated, "*While this first strain is unlikely to be useful in itself for malaria control, it will be an important tool in determining how modified mosquitoes behave in an African genetic context, and for enhancing research and regulatory experience in our partner*



*countries*".<sup>124</sup> Such a move to release potentially risky GM mosquitoes with no benefit for malaria control is unethical. However, it remains unclear when the proposed open release of 10,000 GM mosquitoes will take place, as there appear to be ongoing problems with breeding large enough numbers of GM mosquitoes in the laboratory (the first open releases were originally planned for 2018).

The planned release of 10,000 male sterile GM mosquitoes is expected to be followed by larger releases of other GM mosquitoes in future years. One possibility that Target Malaria is considering next is releasing (non-gene drive) GM mosquitoes, which are genetically engineered to bias the sex ratio of the next generation towards male mosquitoes (which do not bite or transmit malaria), with the aim of reducing the total number of mosquitoes that could reproduce.<sup>125</sup>

Although the GM mosquitoes that Target Malaria is aiming to release will be different from Oxitec's, many of the same concerns arise and have yet to be addressed. For example, there is a lack of fully informed consent to the planned experiments; poor compliance with

regulatory requirements and a lack of public consultation; unjustified hype about what the experiments can deliver; a lack of transparency and public consultation; and a lack of debate about alternatives.<sup>126</sup>

Should releases of GM mosquitoes using a gene drive be proposed in future, this would raise significant additional concerns.

## 8. Conclusions

Open releases of GM insects – particularly GM mosquitoes – into the environment to date have not delivered on their promises. Misleading hype has led to significant opportunity costs and the exposure of people to unnecessary risks. These mistakes must be avoided in the future but run the real risk of being repeated in Africa, where there is growing distrust in African institutions' inability to create conditions of openness, transparency, inclusion, accountability and good governance and where biosafety capacity is either non-existent or sorely lacking.



## Endnotes

- 1 GM mosquitoes in Burkina Faso. November 2018. African Centre for Biodiversity, GeneWatch UK and Third World Network. <https://acbio.org.za/en/briefing-paper-gm-mosquitoes-burkina-faso>. Also in French at: [https://acbio.org.za/sites/default/files/documents/Les\\_moustiques\\_g%C3%A9n%C3%A9tiquement\\_modifi%C3%A9s\\_au\\_Burkina\\_Faso.pdf](https://acbio.org.za/sites/default/files/documents/Les_moustiques_g%C3%A9n%C3%A9tiquement_modifi%C3%A9s_au_Burkina_Faso.pdf)
- 2 GM mosquitoes in Burkina Faso: A briefing for the Parties to the Cartagena Protocol on Biosafety. November 2018. African Centre for Biodiversity, GeneWatch UK and Third World Network. [https://acbio.org.za/sites/default/files/documents/GM\\_mosquitoes\\_in\\_Burkina\\_Faso\\_A\\_briefing\\_for\\_the\\_Parties\\_to\\_the\\_Cartagena\\_Protocol\\_on\\_Biosafety.pdf](https://acbio.org.za/sites/default/files/documents/GM_mosquitoes_in_Burkina_Faso_A_briefing_for_the_Parties_to_the_Cartagena_Protocol_on_Biosafety.pdf)
- 3 [www.oxitec.com](http://www.oxitec.com)
- 4 United States Securities and Exchange Commission. Form 8-K. Intrexon Corporation. 7 August 2015. <http://markets.on.nytimes.com/research/stocks/fundamentals/drawFiling.asp?docKey=137-000119312515287266-3FORTF6K2515N11578NAML0MJB&docFormat=HTM&formType=8-K>
- 5 Oxitec's GM mosquitoes: In the public interest? GeneWatch UK briefing. 14 December 2010. [http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Oxitecbrief\\_fin.pdf](http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Oxitecbrief_fin.pdf)
- 6 Oxford spinout Oxitec sold to Intrexon Corporation for \$160 million. 10 August 2015. <https://innovation.ox.ac.uk/news/oxford-spinout-oxitec-sold-to-intrexon-corporation-for-160-million/>
- 7 Oxitec Press Release: Intrexon to acquire Oxitec, pioneer of innovative insect control solutions addressing global challenges. University of Oxford. 10 August 2015. <http://www.oxitec.com/press-release-intrexon-to-acquire-oxitec-pioneer-of-innovative-insect-control-solutions-addressing-global-challenges/>
- 8 Intrexon and Oxitec Press Release: Environmentally friendly insect control solutions tackle growing global health and agriculture problems with unparalleled accuracy. 8 September 2015. <http://www.oxitec.com/press-release-environmentally-friendly-insect-control-solutions-tackle-growing-global-health-and-agriculture-problems-with-unparalleled-accuracy/>
- 9 Oxitec's GM insects: Failed in the Field? GeneWatch UK. 14 May 2018. [http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Failed\\_in\\_the\\_field\\_fin.pdf](http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Failed_in_the_field_fin.pdf)
- 10 Phuc HK, Andreasen MH, Burton RS, Vass C., Epton MJ, Pape G, ... Alphey, L. (2007) Late-acting dominant lethal genetic systems and mosquito control. *BMC Biology* 5(11).
- 11 Morrison N, Alphey L. (2012) Genetically modified insects for pest control: An update. *Outlooks on Pest Management* 23(2):65–68.
- 12 <https://www.oxitec.com/crop-protection/fall-armyworm/>
- 13 Oxitec transitioning friendly™ self-limiting mosquitoes to 2nd generation technology platform, paving way to new scalability, performance and cost breakthroughs. Oxitec Press Release. 28 November 2018. <https://www.oxitec.com/2nd-generation-platform/>
- 14 The Municipality of Santiago de Cali, Colombia, and Oxitec Ltd. announce memorandum to deploy Friendly™ Aedes. Oxitec Press Release. Oxitec Press Release. 10 April 2017. <https://www.oxitec.com/municipality-santiago-de-cali-colombia-oxitec-ltd-announce-memorandum-deploy-friendly-aedes/>
- 15 EPA opens public comment period for Oxitec's Experimental Use Permit application for Friendly™ Mosquitoes in Florida Keys. Oxitec Press Release. 9 March 2018. <https://www.oxitec.com/epa-opens-public-comment-period-oxitecs-experimental-use-permit-application-friendly-mosquitoes-florida-keys/>
- 16 Oxitec and GBIT announce launch of Friendly™ Aedes Project in India. Oxitec Press Release. 23 January 2017. <https://www.oxitec.com/oxitec-and-gbit-announce-launch-of-friendly-aedes-project-in-india/>
- 17 Use of mosquito for eradication of dengue discussed. *Pakistan Observer*. 3 June 2014.
- 18 S'pore studying new way to keep dengue at bay. *The Straits Times*. 22 January 2016. <https://www.asiaone.com/singapore/spore-studying-new-way-keep-dengue-bay>
- 19 Mosquito transgênico: un parche tecnológico para el dengue. *TSS*. 9 March 2017. <http://www.unsam.edu.ar/tss/mosquito-transgenico-un-parche-tecnologico-para-el-dengue/>
- 20 Can dengue be eradicated? *The Tico Times*. 22 September 2011. <http://www.ticotimes.net/2011/09/22/can-dengue-be-eradicated>
- 21 Company calls on FDA to issue permit for genetically modified mosquitoes. *USA Today*. 25 May 2016. <https://eu.usatoday.com/story/news/2016/05/25/company-pitches-gmo-mosquitoes-capitol-hill/84903072/>
- 22 Oxitec's Friendly™ Aedes Mosquito Receives Positive Evaluation for European Standard in relation to Human Health and the Environment. Oxitec Press Release. 6 July 2017. <https://www.oxitec.com/oxitecs-friendly-aedes-mosquito-receives-positive-evaluation-for-european-standard-in-relation-to-human-health-and-the-environment/>
- 23 Humans are genetically modifying mosquitoes to fight a disease we helped create. *Quartz*. 6 May 2015. <http://qz.com/384874/humans-are-genetically-modifying-mosquitoes-to-fight-a-disease-we-helped-create/>
- 24 Minister claims GM mosquitoes didn't work. *Cayman News*. 13 November 2018. <https://caymannewsservice.com/2018/11/gm-mosquitoes-didnt-work/>
- 25 Lacroix R, McKemey AR, Raduan N, Kwee Wee L, Hong Ming W, Guat Ney T, ... Murad S (2012) Open Field Release of Genetically Engineered Sterile Male Aedes aegypti in Malaysia. *PLoS ONE* 7(8), e42771. <https://doi.org/10.1371/journal.pone.0042771>
- 26 No proposal to use GMO mosquitoes to combat dengue. *Free Malaysia Today*. 8 March 2015. <http://www.freemalaysiatoday.com/category/nation/2015/03/08/no-proposal-to-use-gmo-mosquitoes-to-combat-dengue/>
- 27 Proyecto de mosquito transgênico no arrancó. *Panamá América*. 1 November 2015. <http://www.panamaamerica.com.pa/proyecto-de-mosquito-transgenico-no-arranco-998624>
- 28 <http://gbitindia.com/>
- 29 Oxitec Limited. Annual Report and Financial Statements. Year ended 31 December 2017. <https://beta.companieshouse.gov.uk/company/04512301/filing-history.p.22>
- 30 Oxitec packs up but evaluation not complete. *Cayman News Service*. 5 February 2019. <https://caymannewsservice.com/2019/02/oxitec-evaluation-not-complete/>
- 31 Anvisa decide que mosquito transgênico é objeto de regulação sanitária [Anvisa decides that transgenic mosquitoes are subject to sanitary regulation]. *ANVISA*. 12 April 2016. [http://portal.anvisa.gov.br/noticias/-/asset\\_publisher/FXrxp9qY7FbU/content/anvisa-decide-que-mosquito-transgenico-e-objeto-de-regulacao-sanitaria/219201/pop\\_up?\\_101\\_INSTANCE\\_FXrxp9qY7FbU\\_viewMode=print&\\_101\\_INSTANCE\\_FXrxp9qY7FbU\\_languageld=en\\_US](http://portal.anvisa.gov.br/noticias/-/asset_publisher/FXrxp9qY7FbU/content/anvisa-decide-que-mosquito-transgenico-e-objeto-de-regulacao-sanitaria/219201/pop_up?_101_INSTANCE_FXrxp9qY7FbU_viewMode=print&_101_INSTANCE_FXrxp9qY7FbU_languageld=en_US)
- 32 Anvisa decide que mosquito transgênico é objeto de regulação sanitária. *REDE Brasil Actual*. 12 April 2016. <http://www.redebrasilatual.com.br/saude/2016/04/anvisa-decide-que-mosquito-transgenico-e-objeto-de-regulacao-sanitaria-7405.html>
- 33 WHO (2016) Mosquito (vector) control emergency response and preparedness for Zika virus. 18 March. [http://www.who.int/neglected\\_diseases/news/mosquito\\_vector\\_control\\_response/en/](http://www.who.int/neglected_diseases/news/mosquito_vector_control_response/en/)
- 34 Press Release: Oxitec expands vector control solution in Brazil. 31 May 2016. <http://www.oxitec.com/oxitec-expands-vector-control-solution-brazil/>
- 35 Oxitec fecha fábrica e expõe fracasso da criação do mosquito transgênico. 27 July 2018. <https://www.redebrasilatual.com.br/saude/2018/07/oxitec-fecha-fabrica-e-expoe-fracasso-da-criacao-de-mosquito-transgenico>

- 36 Oxitec transitioning Friendly™ self-limiting mosquitoes to 2nd generation technology platform, paving way to new scalability, performance and cost breakthroughs. Oxitec Press Release. 28 November 2018. <https://www.oxitec.com/2nd-generation-platform/>
- 37 Florida needs a new place to release genetically-modified anti-zika mosquitoes. MotherBoard. 21 November 2016. <http://motherboard.vice.com/read/florida-needs-a-new-place-to-release-genetically-modified-anti-zika-mosquitoes>
- 38 Victory! GE mosquitoes will not be let loose on Florida community. Friends of the Earth, Center for Food Safety Press Release. 7 December 2016. <http://www.foe.org/news/archives/2016-12-victory-ge-mosquitoes-will-not-be-let-loose-on-florida-community>
- 39 FDA backs down from releasing genetically modified mosquitoes after lawsuit threatened. Florida Record. 8 January 2017. <http://flarecord.com/stories/511066542-fda-backs-down-from-releasing-genetically-modified-mosquitoes-after-lawsuit-threatened>
- 40 US Food and Drug Administration. Oxitec mosquito. <https://www.fda.gov/animalveterinary/developmentapprovalprocess/geneticengineering/geneticallyengineeredanimals/ucm446529.htm>
- 41 Mosquito Control, Oxitec to meet. Keys News. 13 December 2018. <https://keysnews.com/article/story/mosquito-control-oxitec-to-meet/>
- 42 Oxitec (2011) Potential UK trial of “genetically sterile” (RIDL®) diamondback moth (*Plutella xylostella*). Powerpoint presentation to Health and Safety Executive (HSE) Scientific Advisory Committee on Genetic Modification (SACGM).
- 43 ACRE (2011) Advisory Committee on Releases to the Environment: Minutes of the 134th Meeting of ACRE at Nobel House, London, Thursday, 1 December. <http://www.defra.gov.uk/acre/files/ACREMINUTES20111201.pdf>
- 44 HSE (2011) Potential trial of “genetically sterile” diamondback moth (*Plutella xylostella*). Minutes of Scientific Advisory Committee on Genetic Modification (Contained Use) 8 November 2011. With annexes.
- 45 HSE (2011) Letter to Oxitec. 5 December 2011. Obtained by GeneWatch UK as the result of a Freedom of Information request.
- 46 DEFRA (2012) Letter to Camilla Beech, Oxitec. 24 January 2012. Obtained by GeneWatch UK following a Freedom of Information request.
- 47 FERA (2012) Letter to GeneWatch UK and GM Freeze. 19 April 2012.
- 48 GeneWatch UK and GM Freeze (2012) Plans for experiments with genetically modified diamondback moths and other GM insects. Letter to Rt Hon Caroline Spelman, MP, Secretary of State for the Environment, Food and Rural Affairs. 27 January 2012.
- 49 Spelman C (2012) Letter from Rt Hon Caroline Spelman, MP, Secretary of State for the Environment, Food and Rural Affairs to GeneWatch UK. 25 February 2012.
- 50 Groups question USDA and Cornell University over lack of transparency in genetically engineered moth experiments. Corporate Crime Reporter. 15 June 2016. <http://www.corporatecrimereporter.com/news/200/groups-question-usda-and-cornell-university-over-lack-of-transparency-in-genetically-engineered-moth-experiments/>
- 51 Rechazado el plan para liberar moscas transgénicas en Tarragona [In Spanish]. La Vanguardia. 7 December 2013. <http://www.lavanguardia.com/natural/20131207/54395267660/rechazado-plan-liberar-moscas-transgenicas-tarragona.html>
- 52 Ecologistas celebran negativa liberar moscas modificadas genéticamente [In Spanish]. La Vanguardia. 7 August 2015. <http://www.lavanguardia.com/vida/20150807/54435701348/ecologistas-celebran-negativa-liberar-moscas-modificadas-geneticamente.html>
- 53 Oxitec’s medfly ready for open field trials. Oxitec PR. 12 December 2016. <http://www.oxitec.com/oxitecs-medfly-ready-open-field-trials/>
- 54 Diamondback Moth Project at Cornell University FAQ. <https://shelton.entomology.cornell.edu/diamondbackmoth/diamondback-moth-project-at-cornell-university-faq/>
- 55 Simmons GS, McKemey AR, Morrison NI, O’Connell S, Tabashnik BE, Claus J, ... Alphey L (2011) Field performance of a genetically engineered strain of pink bollworm. *PLoS ONE* 6(9), e24110. <http://doi.org/10.1371/journal.pone.0024110>
- 56 NCC Pink Bollworm Action Committee. Fiesta Inn, Tempe, AZ, 28 October 2009. [http://azcotton.org/NCC/2009/20091027\\_NCC\\_PBW\\_TAC\\_MINUTES.pdf](http://azcotton.org/NCC/2009/20091027_NCC_PBW_TAC_MINUTES.pdf)
- 57 NCC Pink Bollworm Technical Action Committee (2010) Draft meeting minutes, 25 October, Tempe, Arizona. pp. 1–32.
- 58 USDA (2011) Controls over genetically engineered animal and insect research. United States Department of Agriculture Office of Inspector General. 31 May 2011. <http://www.usda.gov/oig/webdocs/50601-16-TE.pdf>
- 59 Email from MRCU scientist to Chief Officer, Ministry of Health, Environment, Culture and Housing (HECH), 4 April 2017. Communications between MRCU and Ministry. Released as a result of a Freedom of Information (FoI) request. 3 April 2018. [http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Communication\\_between\\_MRCU\\_and\\_Ministry\\_1.pdf](http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Communication_between_MRCU_and_Ministry_1.pdf)
- 60 Oxitec Project: Results to date. Attachment to email from MRCU scientist to Chief Officer, Ministry of Health, Environment, Culture and Housing (HECH), 4 April 2017. Communications between MRCU and Ministry. Released as a result of a Freedom of Information (FoI) request. 3 April 2018. [http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Communication\\_between\\_MRCU\\_and\\_Ministry\\_1.pdf](http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Communication_between_MRCU_and_Ministry_1.pdf)
- 61 Oxitec’s vector control solution: A paradigm shift in vector control. Intrexon Corporation. 2016.
- 62 Oxitec’s GM insects: Failed in the Field? GeneWatch UK. 14 May 2018. [http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Failed\\_in\\_the\\_field\\_fin.pdf](http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Failed_in_the_field_fin.pdf)
- 63 Liberados 300 mil mosquitos transgenicos [In Spanish]. TVN-2. 9 May 2014. [http://www.tvn-2.com/nacionales/Liberados-mil-mosquitos-transgenicos\\_0\\_3931106958.html](http://www.tvn-2.com/nacionales/Liberados-mil-mosquitos-transgenicos_0_3931106958.html)
- 64 Email from MRCU scientist to colleague, 15 September 2017. Communications between MRCU staff. Released as a result of a Freedom of Information (FoI) request. 3 April 2018. [http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Communication\\_between\\_MRCU\\_staff.pdf](http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Communication_between_MRCU_staff.pdf)
- 65 Oxitec Project: Results to date. Attachment to email from MRCU scientist to Chief Officer, Ministry of Health, Environment, Culture and Housing (HECH), 4 April 2017. Communications between MRCU and Ministry. Released as a result of a Freedom of Information (FoI) request. 3 April 2018. [http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Communication\\_between\\_MRCU\\_and\\_Ministry\\_1.pdf](http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Communication_between_MRCU_and_Ministry_1.pdf)
- 66 Email from MRCU scientist to MRCU Director and other staff, 11 August 2017. Communications between MRCU staff. Released as a result of a Freedom of Information (FoI) request. 3 April 2018. [http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Communication\\_between\\_MRCU\\_staff.pdf](http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Communication_between_MRCU_staff.pdf)
- 67 Annual report MRCU – June 2017. Friendly *Aedes aegypti* project in West Bay. <http://cnslibrary.com/wp-content/uploads/MRCU-Annual-Report-on-Oxitec-GM-mosquito-project-June-2017.pdf>
- 68 Paupy C, et al. (2010) Comparative role of *Aedes albopictus* and *Aedes aegypti* in the emergence of dengue and chikungunya in central Africa. *Vector Borne and Zoonotic Diseases* 10(3): 259–266.
- 69 Ali M, et al. (2003) Use of a geographic information system for defining spatial risk for dengue transmission in Bangladesh: Role for *Aedes albopictus* in an urban outbreak. *The American Journal of Tropical Medicine and Hygiene* 69(6): 634–640.
- 70 Rezza G (2012) *Aedes albopictus* and the reemergence of dengue. *BMC Public Health* 12(1): 72.
- 71 Sirisena PDNN, Noordeen F (2014) Evolution of dengue in Sri Lanka-changes in the virus, vector, and climate. *Int J Infect Dis.* 19:6–12.
- 72 Duncombe J, Espino F, Marollano K, et al. (2013) Characterising the spatial dynamics of sympatric *Aedes aegypti* and *Aedes albopictus* populations in the Philippines. *Geospat Health* 8(1): 255–265.
- 73 Oxitec draft environmental assessment. February 2011. [http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Environmental\\_Assessment.pdf](http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Environmental_Assessment.pdf). p.25

- 74 Bonsall MB, Yakob L, Alphey N, Alphey L (2010) Transgenic control of vectors: The effects of inter-specific interactions. *Israel Journal of Ecology and Evolution* 56: 353–370.
- 75 Application to conduct scientific study in the Cayman Islands. July 2014–July 2015. [http://www.centerforfoodsafety.org/files/2014-application-to-doe-3\\_97611.pdf](http://www.centerforfoodsafety.org/files/2014-application-to-doe-3_97611.pdf)
- 76 Edgington MP, Alphey LS (2018) Population dynamics of engineered underdominance and killer-rescue gene drives in the control of disease vectors. *PLOS Computational Biology* 14(3), e1006059. <https://doi.org/10.1371/journal.pcbi.1006059>
- 77 Yakob L, Alphey L, Bonsall MB (2008) *Aedes aegypti* control: The concomitant role of competition, space and transgenic technologies. *Journal of Applied Ecology* 45(4):1258–1265.
- 78 Winskill P, Harris AF, Morgan SA, et al. (2014) Genetic control of *Aedes aegypti*: Data-driven modelling to assess the effect of releasing different life stages and the potential for long-term suppression. *Parasites & Vectors* 7(1): 68.
- 79 Curtis CF (2003) Measuring public-health outcomes of release of transgenic mosquitoes. In: Takken W, Scott TW (eds.) *Ecological aspects for application of genetically modified mosquitoes*. Wageningen UR Frontis Series, Vol. 2. Kluwer Academic Publishers, Dordrecht, The Netherlands.
- 80 Scott TW, Morrison AC (2003) *Aedes aegypti* density and the risk of dengue-virus transmission. In: Takken W, Scott TW (eds.) *Ecological aspects for application of genetically modified mosquitoes*. Wageningen UR Frontis Series, Vol. 2. Kluwer Academic Publishers, Dordrecht, The Netherlands.
- 81 Egger JR, et al. (2008) Reconstructing historical changes in the force of infection of dengue fever in Singapore: Implications for surveillance and control. *Bulletin of the World Health Organization* 86(3): 187–196.
- 82 Oxitec draft environmental assessment. February 2011. [http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Environmental\\_Assessment.pdf](http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Environmental_Assessment.pdf)
- 83 Thammapalo S, Nagao Y, Sakamoto W, Saengtharapit S, Tsujitani M, Nakamura Y, Coleman PG, Davies C (2008) Relationship between transmission intensity and incidence of dengue hemorrhagic fever in Thailand. *PLoS Neglected Tropical Diseases* 2(7): e263. doi:10.1371/journal.pntd.0000263
- 84 Nagao Y, Koelle K (2008) Decreases in dengue transmission may act to increase the incidence of dengue hemorrhagic fever. *Proceedings of the National Academy of Sciences* 105(6): 2238–2243.
- 85 Phuc HK, Andreasen MH, Burton RS, Vass C, Epton MJ, et al. (2007) Late-acting dominant lethal genetic systems and mosquito control. *BMC Biology* 5: 11. <http://www.biomedcentral.com/1741-7007/5/11>
- 86 GeneWatch, Friends of the Earth, Third World Network PR: Company conceals evidence that genetically modified mosquitoes may have high survival rate in wild (12 January 2012) [http://www.genewatch.org/article.shtml?als\[cid\]=492860&als\[itemid\]=569476](http://www.genewatch.org/article.shtml?als[cid]=492860&als[itemid]=569476)
- 87 Massonnet-Bruneel B, Corre-Catelin N, Lacroix R, et al. (2013) Fitness of transgenic mosquito *Aedes aegypti* males carrying a dominant lethal genetic system. *PLoS ONE*. 8(5):e62711. <http://www.ncbi.nlm.nih.gov/pmc/articles/PMC3653897/>
- 88 Zurek L, Ghosh A (2014) Insects represent a link between food animal farms and the urban environment for antibiotic resistance traits. *Appl Environ Microbiol*. 80(12): 3562–7.
- 89 Allen HK, et al. (2009) Resident microbiota of the gypsy moth midgut harbors antibiotic resistance determinants. *DNA Cell Biol*. 28(3): 109–17.
- 90 Tian B, et al. (2012) Long-term exposure to antibiotics has caused accumulation of resistance determinants in the gut microbiota of honeybees. *mBio* 3(6) :e00377-12.
- 91 Levy SB, Marshall BM (2013) Honeybees and tetracycline resistance. *mBio*, 4(1): e00045-13.
- 92 Alphey N, Bonsall B, Alphey A (2011) Modeling resistance to genetic control of insects. *Journal of Theoretical Biology* 270: 42–55.
- 93 Hibino Y, Iwahashi O (1991) Appearance of wild females unreceptive to sterilized males on Okinawa Is. in the eradication program of the melon fly, *Dacus cucurbitae* Coquillett (Diptera: Tephritidae). *Applied Entomology and Zoology* 26(2): 265–270.
- 94 Bonizzoni M, Dunn WA, Campbell L, Olson KE, Marinotti O, James AA (2012) Strain variation in the transcriptome of the dengue fever vector, *Aedes aegypti*. *G3* 2(1): 103–114. <http://www.g3journal.org/content/2/1/103.full>
- 95 Van Den Hurk AF, et al. (2011) Vector competence of Australian mosquitoes for yellow fever virus. *The American Journal of Tropical Medicine and Hygiene* 85(3): 446–451.
- 96 Aitken TH, Downs WG, Shope RE (1977) *Aedes aegypti* strain fitness for yellow fever virus transmission. *The American Journal of Tropical Medicine and Hygiene* 26(5 Pt 1): 985–989.
- 97 Tabachnick WJ, et al. (1985) Oral infection of *Aedes aegypti* with yellow fever virus: Geographic variation and genetic considerations. *The American Journal of Tropical Medicine and Hygiene* 34(6): 1219–1224.
- 98 De Oliveira RL, et al. (2003) Large genetic differentiation and low variation in vector competence for dengue and yellow fever viruses of *Aedes albopictus* from Brazil, the United States, and the Cayman Islands. *The American Journal of Tropical Medicine and Hygiene* 69(1): 105–114.
- 99 Oxitec's GM fruit flies: Issues of concern. GeneWatch UK Briefing. 7 February 2017. [http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Medfly\\_brief\\_fin.pdf](http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Medfly_brief_fin.pdf)
- 100 Oxitec's genetically modified moths: Summary of concerns. GeneWatch UK Briefing. 10 November 2015. [http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/DBMbrief\\_fin.pdf](http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/DBMbrief_fin.pdf)
- 101 Genetically Modified (GM) olive flies: A credible pest management approach? GeneWatch UK briefing. 18 September 2013. [http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/GMolivefly\\_GWbriefing\\_fin2.pdf](http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/GMolivefly_GWbriefing_fin2.pdf)
- 102 Benedict M, Eckerstorfer M, Franz G, Gaugitsch H, Greiter A, Heissenberger A, Knols B, Kumschick S, Nentwig W, Rabitsch W (2010) Defining environmental risk assessment criteria for genetically modified insects to be placed on the EU market. Environment Agency Austria, University of Bern, International Atomic Energy Agency. Scientific/Technical Report submitted to the European Food Safety Agency (EFSA). 10 September 2010. <http://www.efsa.europa.eu/en/scdocs/doc/71e.pdf>
- 103 Humans are genetically modifying mosquitoes to fight a disease we helped create. Quartz. 6 May 2015. <http://qz.com/384874/humans-are-genetically-modifying-mosquitoes-to-fight-a-disease-we-helped-create/>
- 104 Genetically-modified insects: Under whose control? Briefing by GeneWatch UK, Testbiotech, Berne Declaration, SwissAid, Corporate Europe Observatory. 8 November 2012. [http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Regnbrief\\_fin2.pdf](http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Regnbrief_fin2.pdf)
- 105 Decision of the European Ombudsman closing the inquiry into complaint 346/2013/SID against the European Food Safety Authority ("EFSA"). 28 January 2015. <https://www.ombudsman.europa.eu/en/decision/en/58868>
- 106 Oxford spinout Oxitec sold to Intrexon Corporation for \$160 million. Oxford University Innovation Press release. 10 August 2015. <https://innovation.ox.ac.uk/news/oxford-spinout-oxitec-sold-to-intrexon-corporation-for-160-million/>
- 107 Reeves RG, Denton JA, Santucci F, Bryk J, Reed FA (2012) Scientific standards and the regulation of genetically modified insects. *PLoS Neglected Tropical Diseases* 6(1), e1502. <https://doi.org/10.1371/journal.pntd.0001502>
- 108 Beech C, Quinlan MM, Capurro ML, Alphey LS, Mumford JD (2011) Update: Deployment of innovative genetic vector control strategies including an update on the MosqGuide Project. *Asia Pacific Journal of Molecular Biology & Biotechnology* 19(3): 101–106.
- 109 Email From: [Redacted] Sent: 21 May 2007 19:23 Subject: [REDACTED] Minutes of our meeting in UKTI London on 25 April 07. Redacted document released to GeneWatch UK by the FCO on 22 March 2012, and by BIS [Document: john lownds6] on 30 March 2012, following Freedom of Information requests.

- 110 Directive 2001/18/EC of the European Parliament and of the Council of 12 March 2001 on the deliberate release into the environment of genetically modified organisms. [http://eur-lex.europa.eu/smartapi/cgi/sga\\_doc?smartapi!celexapi!prod!CELEXnumdoc&lg=EN&numdoc=32001L0018&model=guichett](http://eur-lex.europa.eu/smartapi/cgi/sga_doc?smartapi!celexapi!prod!CELEXnumdoc&lg=EN&numdoc=32001L0018&model=guichett)
- 111 Wallace HM (2013) Genetically modified mosquitoes: Ongoing concerns. Third World Network. TWN Biotechnology & Biosafety Series 15. <http://twinside.org.sg/title2/biosafety/bio15.htm>
- 112 Lack of risk assessment for GM mosquito experiments is negligent, says GeneWatch. GeneWatch UK Press Release. 12 February 2014. [http://www.genewatch.org/article.shtml?als\[cid\]=566989&als\[itemid\]=574224](http://www.genewatch.org/article.shtml?als[cid]=566989&als[itemid]=574224)
- 113 Failures of the transboundary notification process for living genetically modified insects. GeneWatch UK Briefing. August 2014. [http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/CPB\\_insects\\_sub\\_Aug14\\_v2.pdf](http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/CPB_insects_sub_Aug14_v2.pdf)
- 114 UK Trade and Investment (2011) A bug's life: When Oxford-based biotech company Oxitec wanted to start trials of its mosquito-controlling technique in Brazil, UK Trade & Investment were on hand to help it find technical partners. UKTI Case Study.
- 115 Vincent M (2012) Tax relief extended to larger ventures. Financial Times, 23 March 2012. <http://www.ft.com/cms/s/0/1c3ead22-74fb-11e1-a98b-00144feab49a.html#axzz1qAK8L5jm>
- 116 De Campos AS, Hartley S, de Koning C, Lezaun J, Velho L (2017) Responsible innovation and political accountability: Genetically modified mosquitoes in Brazil. *Journal of Responsible Innovation* 4(1): 5–23. <https://doi.org/10.1080/23299460.2017.1326257>
- 117 Dengue, where is thy sting? Los Angeles Times. 1 November 2012. <http://articles.latimes.com/2012/nov/01/world/la-fg-brazil-mutant-mosquitoes-20121102>
- 118 Reis-Castro L, Hendrickx K (2013) Winged promises: Exploring the discourse on transgenic mosquitoes in Brazil. *Technology in Society* 35(2): 118–128. <https://doi.org/10.1016/j.techsoc.2013.01.006>
- 119 Nading AM (2015) The lively ethics of global health GMOs: The case of the Oxitec mosquito. *BioSocieties*, 10(1): 24–47. <https://doi.org/10.1057/biosoc.2014.16>
- 120 Email from MRCU scientist to MRCU Director and other staff, 12 May 2017. Communications between MRCU staff. Released as a result of a Freedom of Information (Fol) request. 3 April 2018. [http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Communication\\_between\\_MRCU\\_staff.pdf](http://www.genewatch.org/uploads/f03c6d66a9b354535738483c1c3d49e4/Communication_between_MRCU_staff.pdf)
- 121 Enserink M (2010) GM mosquito trial strains ties in Gates-Funded Project. *Science*. 16 November 2010. <https://www.sciencemag.org/news/2010/11/gm-mosquito-trial-strains-ties-gates-funded-project>
- 122 <http://targetmalaria.org/>
- 123 Hayes K, Hosack GR, Ickowicz A, Foster S, Peel D, Ford J, Thresher R (2018) Risk assessment for controlling mosquito vectors with engineered nucleases: Controlled field release for sterile male construct: Risk assessment final report. 2 May. In: Independent ecological risk assessment for a small-scale field release of a sterile male strain of *Anopheles coluzzii*. Target Malaria. <https://targetmalaria.org/wp-content/uploads/target-malaria-independent-ecological-risk-assessment-small-scale-release-sterile-male-executive-summary.pdf>
- 124 Target Malaria (2015) Independent risk assessment for contained laboratory studies on a sterile male strain of *Anopheles gambiae*. <http://targetmalaria.org/wp-content/uploads/pdf/target-malaria-risk-assessment-sterile-males-plus-executive-summary.pdf>
- 125 Hayes KR, Barry S, Beebe N, Dambacher JM, Barro PD, Ferson S, ... Thresher R (2015) Risk assessment for controlling mosquito vectors with engineered nucleases: Sterile male construct. Final report. Target Malaria/CSIRO. <https://targetmalaria.org/wp-content/uploads/pdf/target-malaria-risk-assessment-sterile-males-plus-executive-summary.pdf>
- 126 GM mosquitoes in Burkina Faso: A briefing for the Parties to the Cartagena Protocol on Biosafety. November 2018. African Centre for Biodiversity, GeneWatch UK and Third World Network. [https://acbio.org.za/sites/default/files/documents/GM\\_mosquitoes\\_in\\_Burkina\\_Faso\\_A\\_briefing\\_for\\_the\\_Parties\\_to\\_the\\_Cartagena\\_Protocol\\_on\\_Biosafety.pdf](https://acbio.org.za/sites/default/files/documents/GM_mosquitoes_in_Burkina_Faso_A_briefing_for_the_Parties_to_the_Cartagena_Protocol_on_Biosafety.pdf). Also in French at: [https://acbio.org.za/sites/default/files/documents/Les\\_moustiques\\_g%C3%A9n%C3%A9tiquement\\_modifi%C3%A9s\\_au\\_Burkina\\_Faso.pdf](https://acbio.org.za/sites/default/files/documents/Les_moustiques_g%C3%A9n%C3%A9tiquement_modifi%C3%A9s_au_Burkina_Faso.pdf)